

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:

Michael Kirkwood, et al.

Serial No.: 09/925,163

Filed on: August 8, 2001

) Confirmation No.: 4082  
)  
) Examiner: BURGE,  
) LONDRA C.  
)  
) Group Art Unit No.: 2178

For: DYNAMIC INFORMATION OBJECT CACHE APPROACH USEFUL IN A  
VOCABULARY RETRIEVAL SYSTEM

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- \_\_\_\_\_ 37 C.F.R. §1.97(b).  
  X   37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

The Examiner's attention is directed to the following related application(s) for the following reasons (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ The Examiner is hereby notified that the following related application has been filed:

U.S. Application/ Pat. No.	File Date	Atty. Docket. No.

This related application contains one or more claims that may be substantially similar to one or more claims in the present application. Therefore, the Examiner is encouraged to reference the file history of the related application as some of the information contained therein may be material to the examination of the present application.

  X   The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:

U.S. Application/ Pat. No.	File Date	Office Action Mailing Date	Atty. Docket. No.
09/909,108	7/18/2001	12/22/04	50325-0530
09/823,819	3/30/01	11/30/04	50325-0528
09/908,947	7/18/01	1/27/05	50325-0529


The related application(s) contain(s) one or more claims that may be substantially similar to one or more claims in the present application, those claims may have been rejected in the related application(s), and a different interpretation may have been applied to one or more of the references that are of record in the present application. Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: February 2/14, 2005

  
\_\_\_\_\_  
John D. Henkhaus  
Reg. No. 42,656

2055 Gateway Place, Suite 550  
San Jose, California 95110-1089  
Telephone: (408) 414-1080  
Facsimile: (408) 414-1076

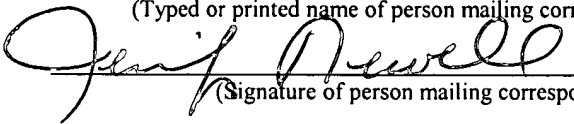
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February 14, 2005  
(Date of Deposit)

Jennifer Newell

(Typed or printed name of person mailing correspondence)

  
\_\_\_\_\_  
(Signature of person mailing correspondence)



**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION  
(PTO-1449)**

**ATTY. DOCKET NO.**  
50325-0532

**SERIAL NO.**  
09/925,163

**APPLICANT:**  
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**FILING DATE:**  
August 8, 2001

**GROUP:**  
2178

**U.S. PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		5,251,314		Williams (text provided on CD-ROM)	10-05-1993	
		5,386,556		Hedin et al. (text provided on CD-ROM)	01-31-1995	
		5,404,506		Fujisawa et al. (text provided on CD-ROM)	04-04-1995	
		5,584,024		Shwartz (text provided on CD-ROM)	12-10-1996	
		5,594,837		Noyes (text provided on CD-ROM)	01-14-1997	
		5,644,740		Kiuchi (text provided on CD-ROM)	07-01-1997	
		5,696,916		Yamazaki et al. (text provided on CD-ROM)	12-09-1997	
		5,740,425		Povilus (text provided on CD-ROM)	04-14-1998	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

**Examiner  
Signature**

**Date Considered**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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		Number	Kind Code <sup>2</sup> (If known)			
		5,752,023		Choucri et al. (text provided on CD-ROM)	05-12-1998	
		5,875,443		Nielsen (text provided on CD- ROM)	02-23-1999	
		6,038,560		Wical (text provided on CD- ROM)	03-14-2000	
		6,085,187		Carter et al. (text provided on CD- ROM)	07-04-2000	
		6,139,201		Carbonell et al. (text provided on CD-ROM)	10-31-2000	
		6,154,213		Rennison et al. (text provided on CD-ROM)	11-28-2000	
		6,209,095	B1	Anderson et al. (text provided on CD-ROM)	03-27-2001	

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		Number	Kind Code <sup>2</sup> (If known)			
		6,230,173	B1	Ferrel et al. (text provided on CD-ROM)	05-08-2001	
		6,315,572	B1	Owens (text provided on CD-ROM)	11-13-2001	
		6,327,593	B1	Goiffon (text provided on CD-ROM)	12-04-2001	
		6,349,275	B1	Schumacher et al. (text provided on CD-ROM)	02-19-2002	
		6,438,540	B2	Nasr (text provided on CD-ROM)	08-20-2002	
		6,453,312	B1	Goiffon et al. (text provided on CD-ROM)	09-17-2002	
		6,453,315	B1	Weissman et al. (text provided on CD-ROM)	09-17-2002	
		6,480,860	B1	Monday (text provided on CD-ROM)	11-12-2002	
		6,510,406	B1	Marchisio (text provided on CD-ROM)	01-21-2003	

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		6,510,434	B1	Anderson et al. (text provided on CD-ROM)	01-21-2003	
		6,519,588	B1	Leschner (text provided on CD-ROM)	02-11-2003	
		6,539,376	B1	Sundaresan et al. (text provided on CD-ROM)	03-25-2003	
		6,556,982	B1	McGaffey et al. (text provided on CD-ROM)	04-29-2003	
		2003/0131007	B1	Schirmer et al. (text provided on CD-ROM)	07-10-2003	
		6,629,097	B1	Keith (text provided on CD-ROM)	09-30-2003	
		6,665,662	B1	Kirkwood et al. (text provided on CD-ROM)	12-16-2003	
		6,675,159	B1	Lin et al. (text provided on CD-ROM)	01-06-2004	
		2004/0034651	A1	Gupta et al. (text provided on CD-ROM)	02-19-2004	

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